

SERVICES AND RATES

	Hourly Engagement	Annual Retainer	Assets Under Management
Initiating Meetings			
Virtual, telephone, or in-person meetings upon client request	X	X	X
SWRA will schedule additional meetings as warranted			X
Services Offered			
SWRA will perform tasks at client's direction	X	X	X
SWRA will highlight additional recommended action items		X	X
Unlimited hours available to client		X	X
Enacting Changes			
SWRA will implement changes to client's investment portfolio			X
SWRA will assist in implementing changes to client's financial			X
Monitoring			
Annual review of financial plan and/or investment portfolio		X	X
Active monitoring of financial plan and/or investment portfolio			X
Quarterly investment review and monitoring report			X

Hourly Engagement	Annual Retainer	Assets Under Management
Rates		
\$300 per hour, billed via invoice after completion of services	Annual fee, billed quarterly in advance	Annual fee, billed in arrears and deducted from assets under management
SWRA will provide its best estimate of hours required to complete a given task	Financial Planning: \$5,000 Annual	First \$1,000,000 AUM: 1% annual
	Portfolio Assessment: \$3,000 Annual	Next \$2,000,000 AUM: .5% annual
	Joint Package: \$6,500 Annual	Thereafter: .35% annual
Recommended Usage		
For clients looking to address narrow topics or answer one-off questions regarding financial planning and/or investments	For clients interested in ongoing, high-level advice who are comfortable administering their own finances	For clients seeking comprehensive financial planning and investment advice, and who prefer to delegate monitoring and implementation responsibilities